IAP4 Rec'd PCT/PTO 12 DEC 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0051-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 038788.56806**Ú**S DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. June 8, 2004 June 11, 2003 PCT/JP2004/007955 TITLE OF INVENTION PROCESS FOR PRODUCING OPTICALLY ACTIVE 1- ALKYL-SUBSTITUTED 2,2,2-TRIFLUOROETHYLAMINE APPLICANT(S) FOR DO/EO/US Akihiro ISHII, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. \boxtimes This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3. and (21) indicated below. 4. The US has been elected (Article 31). \boxtimes A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. \boxtimes is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. \boxtimes Is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. \bowtie An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 14. \boxtimes A substitute specification. 15. П 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: Form PTO-1449 w/2 non-US cited references; Form PCT/IB/301; Form PCT/IB/304; Form PCT/IB/307; 20. \boxtimes Form PCT/IB/308; Form PCT/IB/311; Form PCT/IB/332; Form PCT/ISA/210 International Search Report dated August 24, 2004 based

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

on International Application No. PCT/JP2004/007955 w/English translation

AP13 Rec 1 70 12 DEC 2005-1390 (Rev. 02-2005)
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	APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			İ	ATTORNEY'S DOCKET NUMBER		
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400	fellowing tees h	we been submitte	ed		_ }	CALCULATIONS	PTO USE ONLY
21. 🛛 Bas	7 C.F.R. §1.492(a	ı))	\$300.00	0	\$300.00		
22. Examination fee (37 C.F.R. §1.492(c))							
If the written opinion prepared by ISA/US or the international preliminary							
examination report prepared by IPEA/US indicates all claims satisfy							
provisions of PCT Article 33(1)-(4)\$0.00						\$200.00	
All other situations\$200.00						\$200.00	
23. Search fee (37 C.F.R. §1.492(b))							
If the written opinion of the ISA/US or the International preliminary examination							
report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0							
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to							
the USPTO as an International Searching Authority\$100							
International Search Report prepared by an ISA other than the US and provided to							
the Office or previously communicated to the US by the IB\$400							
All other situations\$500						\$400.00	
TOTAL OF 21, 22 AND 23 =						\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding							
sequence listing or computer program listing filed in an electronic medium). The fee is							
\$250 for each	ch additional 50	sheets of paper o					
Total Sheets	Extra Sheets		additional 50 or fraction	٦ RAT	E		
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest						\$	
claimed priority date (37 CFR 1.492(h)). CLAIMS NUMBER FILED NUMBER EXTRA RATE							
CLAIMS			NUMBER EXTRA				
Total claims	S	12 - 20 0		x	50	\$	· · · · · · · · · · · · · · · · · · ·
Independent cla	aims	1 - 3 0		x :	200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360						\$	
TOTAL OF ABOVE CALCULATIONS =							
Applicant cla	ime emall entity	status See 37 CE	R 1 27 Fees above are	reduced by 1/2			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. SUBTOTAL = \$							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the						<u> </u>	
earliest claimed priority date (37 CFR 1.492(i))+						\$	
TOTAL NATIONAL FEE =						\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be						- 4000.00	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+						\$	
TOTAL FEES ENCLOSED =						\$	
						Amount to be	
						refunded:	\$
Ar							
						charged:	\$
a. X A check in the amount of \$900.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 038788.56806US) in the amount of \$ to cover the above fees.							
A duplicate copy of this sheet is enclosed.							
c. 🔀 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit							
Account No. 05-1323 (Attorney Docket No. 038788.56806US). A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not							
be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed							
and granted to restore the International Application to pending status.							
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SEND ALL CORRESPONDENCE TO:							
Crowell & Moring, LLP							
	ctual Property Gr	oup		SIGNATURE			
	ox 14300			J. D. Evans			
	4-4300		NAME				
Washington, D.C. 20044-4300 Tel. No. (202) 624-2500						4DED	
Fax No. (202) 628-8844 REGISTRATION NUMB Pax No. (202) 628-8844 December 12, 2005						IBEK	
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